


The Forest Inventory and Analysis Program:  
Current Research and Future Opportunities

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### Outline

1. Brief Overview of the Forest Inventory and Analysis program (FIA)
2. General examples of current FIA research in the South
3. Specific research example: Physical constraints on timber availability
4. Future research directions and topics



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### Overview: The FIA Program

- Current comprehensive inventories and analyses of all US Forests
- Annual forest inventories in all States
- National core program that includes additional data beyond the core which are used to address specific regional/local needs
- QA programs to assess data quality and improve the FIA program
- Conduct inventories with State and Federal partners.



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**Overview: The FIA Program cont.**



- Three phase program – Phase 1-remote sensing; Phase 2- forest mesuration plots; Phase 3-forest health plots
- Phase 3 is 1/16<sup>th</sup> of Phase 2
- One Phase 2 plot per 6000 ac
- Consistent core set of field measurements across the U.S.
- Rotating panel system - 10% of plots yr<sup>-1</sup> in west, 15% of plots yr<sup>-1</sup> in east
- States and FS forests can “buy down” to 20% of plots yr<sup>-1</sup>.
- Annual data compilations
- 5-year state reports, FS forest reports

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**Overview: Sampling Hexagons and Plots**

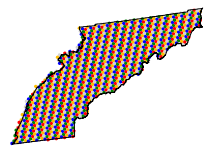




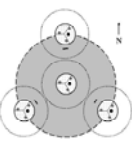
Truncated Icosahedron



North American Hexagon



248,832 area density intensification  
(1 plot / 6000 ac)



Large footprint plot layout

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**Overview: FIA – Past and Present**



Past	Present
Timberland Inventory	Forestland Inventory
Timber Information	Forest and Forest Health Information
Aerial photography w/field sampling	Field sampling w/ remote sensing for stratification
Regional	National
Periodic	Annual
Tabular products	Tabular and some geospatial products

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## Overview: Additional Components of FIA



- Timber Product Output Surveys
- National Woodland Owner Survey
- Tropical Islands Inventory
- Utilization Studies
- Ozone biomonitoring





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## Current Research



- Status and trend reporting of southern forest resources including the extent, character and use of southern forests
  - Examples
    - assessment of the growth and removals of the hardwood resource in the Appalachian Hardwood Region (contact: Dr. Chris Oswalt)
    - development of the current status and utilization pressures of the southern cypress resource (contact: Mark Brown)
    - distribution of wood-processing mills in the South and the development of estimates of non-wood forest products in the United States (contact: Tony Johnson)
    - Physical constraints on timber availability in the South: A multivariate approach. (contact: Dr. John Coulston)
- Forest health issue detection and monitoring
  - Examples
    - development of the Southern Nonnative Invasive Database and Tool (Contact: Dr. Chris Oswalt)
    - assessment of the oak regeneration pool in Virginia's forests, and the decline of dogwood populations in the Appalachian ecoregion (Contact: Anita Rose)

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## Current Research



- The development of techniques for implementing forest inventories and utilizing annual forest data
  - Examples
    - An alternative to traditional goodness-of-fit tests for discretely measured continuous data (contact: Dr. KaDonna Randolph)
    - detecting meaningful spatial clusters of forest health changes using FIA phase 3 data and spatial scan statistics (Contact: Dr. John Coulston)
    - Invasive forest pest surveillance: survey development and reliability (contact: Dr. John Coulston)
    - Increasing statistical power of components of change analysis (contact: Dr. Frank Roesch)
    - Exploiting correlations among phase 2 and phase 3 variables for prediction and estimation (contact: Dave Gärtner)
- landscape level forest disturbance and change detection and characterization
  - Examples
    - determining the impacts of deforestation in Puerto Rico (contact: Dr. Tom Brandeis)
    - integrating Landsat derived disturbance maps with FIA inventory data (contact: Sonja Oswalt)
    - Mapping U.S. forest biomass using nationwide forest inventory data and moderate resolution information (Contacts: Dennis Jacobs, Dr. Dumitru Salajanu)

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### Specific Research Example

Physical constraints on timber availability in the South: A multivariate approach.

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### Motivation

- The are approximately 215 million acres of forest land with 325 billion cubic feet of timber in the South. However, the availability of this resource differs by:
  - Management constraints
  - Physical constraints
  - Economic constraints
  - Other

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### Physical Constraint examples

- Proximity to roads
- Population density
- Slope
- Topographic position
- Soil wetness
- Tract size
- Percent forest

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## Physical Constraints

- Traditionally, expert opinion has been used to identify plots that are unlikely to be harvested
  - Remove stands on slopes greater than 40%
  - Remove stands within a certain proximity to urban or built-up areas
- Another alternative is to let the data determine the likelihood of harvest

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## Modeling Complications

- Response variable is problematic
  - e.g.  $\text{harvest} = x_1 + x_2 + \dots + x_n + \epsilon$
  - To build, for example, a logistic model we would want harvest to be binomial.
  - While selecting  $\text{harvest}=1$  from the data is easy, properly selected  $\text{harvest}=0$  requires more information than is available.
- Many explanatory variables are correlated.
  - Slope is correlated with soil wetness
  - Road proximity is correlated with population density.

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## Multivariate Alternatives

- Examine the set of explanatory variables from harvested plots to explain the correlation among variables in fewer uncorrelated dimensions.
  - Singular value decomposition
  - Principal components
  - Factor analysis
  - Latent class analysis

Continuous variables

Categorical variables

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## Principal Components (PCA)

- Used with continuous variables.
- Seeks to explain the covariance (or correlation) among variables in terms of uncorrelated linear combinations.
- Based on characteristic roots (eigenvalues) and characteristics vector (eigenvector) of the covariance matrix
- Used to reduce dimensionality and aid in interpretation.
- Multivariate normality is not required.
- Has generally been used in psychometrics and market analysis

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## Available variables

- FIA plot variables
  - Tract size (continuous)-NIPF
  - Percent forest of tract (continuous)-NIPF
  - Slope (continuous)
  - Distance to road (ordinal)
  - Operability class (categorical)
  - Physiographic class (categorical)
- GIS variables (all continuous)
  - Percent forest in surrounding ~160 ac.
  - Connectivity of forest in surrounding 160 ac.
  - Distance to nearest road.
  - Terrain relative moisture index
  - Topographic scale
  - Slope
  - Population density
  - Night-time light saturation

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## Variables used

- FIA plot variables
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## Basic Steps

- Extract all current plot and condition level data from FIADB (~35,000 plots)
- Identify which plots had been harvested (~4,000 plots)
- Attach GIS variables to plot
- Remove 200 harvested plots for testing
- Run PCA on remaining harvested plots
- Create likelihood model in feature space
- Apply linear combinations from PCA to test plots and examine likelihood model in feature space
- Apply linear combinations to all plots that were not harvested

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## Results from PCA

	Eigenvectors							
	Prin1	Prin2	Prin3	Prin4	Prin5	Prin6	Prin7	Prin8
Terrain Relative Moisture Index	0.001	<b>-0.676</b>	-0.047	0.042	-0.028	0.111	0.725	-0.002
Slope	0.165	<b>0.415</b>	0.181	0.523	-0.581	-0.147	0.369	-0.014
Topographic Scale	-0.034	<b>0.596</b>	-0.031	-0.289	0.466	0.156	0.554	-0.001
Percent Forest	<b>0.644</b>	-0.033	0.152	-0.242	-0.351	0.668	-0.016	0.705
Connectivity of Forest	<b>0.647</b>	-0.041	0.148	-0.226	-0.015	0.052	-0.027	-0.709
Population Density	-0.174	-0.028	<b>0.692</b>	0.132	0.035	0.681	-0.092	-0.001
Light Saturation	-0.163	-0.080	<b>0.661</b>	-0.248	0.097	-0.667	0.068	0.000
Distance to Nearest Road	<b>0.272</b>	<b>-0.074</b>	<b>0.061</b>	<b>0.673</b>	<b>0.657</b>	<b>-0.167</b>	<b>-0.053</b>	<b>0.026</b>
eigen value	2.03	1.40	1.18	0.95	0.89	0.76	0.66	0.14
Cumulative Percent Variance Explained	25.4%	42.9%	57.7%	69.5%	80.7%	90.1%	98.3%	100.0%

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## Results from PCA

- Retain first two components from PCA
- Use principal factor analysis which allows rotation of the components for more defined structure of the first two dimensions.
- Calculate the factor score for each observation

Variable	Standardized Scoring Coefficients	
	Factor1	Factor2
Terrain Relative Moisture Index	0.024	<b>0.571</b>
Slope	0.102	<b>-0.355</b>
Topographic Scale	-0.044	<b>-0.502</b>
Percent Forest	<b>0.452</b>	0.008
Connectivity of Forest	<b>0.455</b>	0.017
Population Density	-0.121	0.029
Light Saturation	-0.126	0.073
Distance to Nearest Road	0.193	0.055

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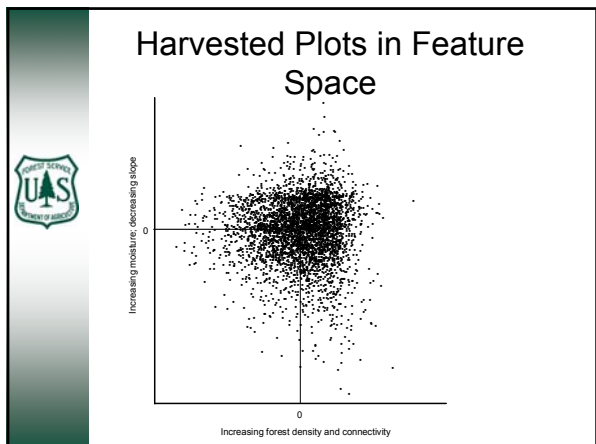
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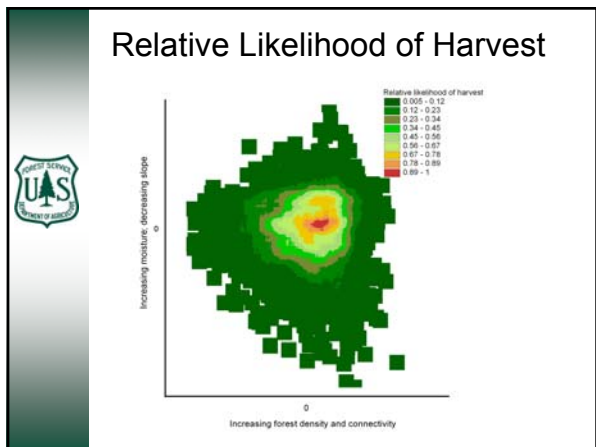
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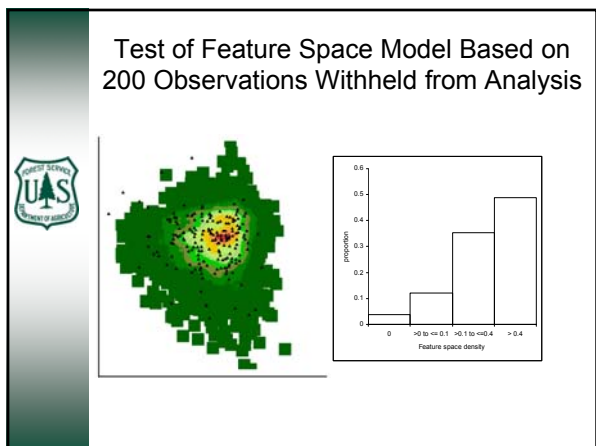
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**Application of the Model**



- We have a model that describes the general condition where we have observed harvest.
- The linear combination from the multivariate analysis can be applied to the all FIA plots in the south.
- Plots with little or no chance of being harvested will fall outside our model space.
- Plots inside our model space will be assigned a relative harvest likelihood score.

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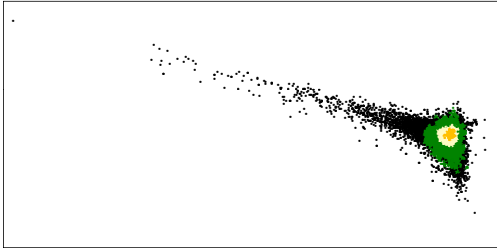

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**Feature Space Model Applied to All FIA Plots in the South**



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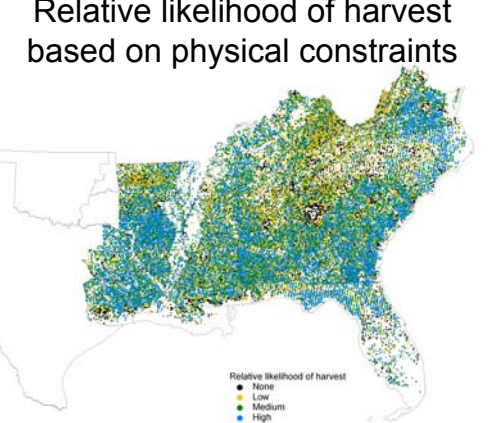

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**Relative likelihood of harvest based on physical constraints**



Relative likelihood of harvest

- None
- Low
- Medium
- High

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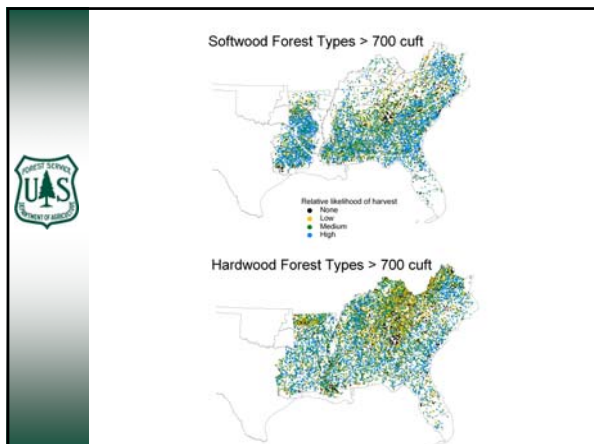
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### Utility of model

- Now that we have a model for physical constraints we can ask more direct questions about availability.
  - E.g. How much hardwood area and volume in non-industrial private ownership are available for harvest.
    - Constraints
      - Volume greater than 700 cuft/ac.
      - Stand falls within the draw radius of a hardwood mill
      - Hardwood forest type\*\*
      - Stand classified in medium or high likelihood of harvest category.

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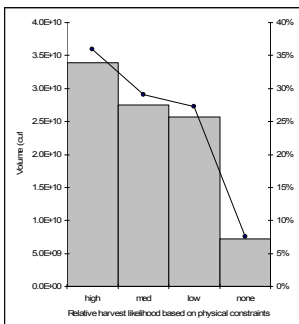
### Hardwoods

**Constraints**

- Non-industrial private ownership
- Volume greater than 700 cuft/ac.
- Stand falls within the draw radius of a hardwood mill
- Hardwood forest type\*\*

**Result**

- Approx. 34 billion cuft of hardwood volume was in the 'high' category.
- Approx. 65% of the hardwood volume in non-industrial private ownership was in the high & med category.




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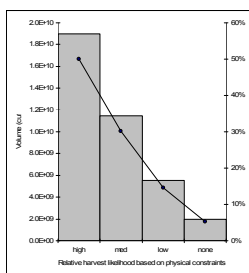
### Softwoods

**Constraints**

- Non-industrial private ownership
- Volume greater than 700 cuft/ac.
- Stand falls within the draw radius of a softwood mill
- softwood forest type\*\*

**Result**

- Approx. 19 billion cuft of softwood volume was in the 'high' category.
- Approx. 80% of the softwood volume in non-industrial private ownership was in the high & med category.




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### Summary



- Multivariate techniques can be used to classify the likelihood that a plot can be harvested based on physical constraints and observed harvest patterns.
- This is a plausible alternative to expert opinion models.
- The multivariate approach can be extended to include management and economic constraints.
- Other techniques that include the use of categorical and ordinal data should be investigated and incorporated.

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## Outline revisited

- Brief Overview of the Forest Inventory and Analysis program (FIA)
- General examples of current FIA research in the South
- Specific research example: Physical constraints on timber availability
- Future research directions and topics

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## FIA: Past, Present, and Future

Past	Present	Future
Timberland Inventory	Forestland Inventory	All Treed land Inventory
Timber Information	Forest and Forest Health Information	Forest, rangeland, and urban forest
Aerial photography w/field sampling	Field sampling w/ remote sensing for stratification	Increased reliance on remote sensing
Regional	National	National
Periodic	Annual	Annual
Tabular products	Tabular and some geospatial products	Increased geospatial products

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## Drivers and Future Directions

- Carbon, biomass, biofuel, and climate change
  - Expand FIA to urban, rangeland, and riparian areas
    - Urban pilot study – Tennessee
    - Rangeland Pilot – Oregon
- Increased cost of *in situ* measurements
  - Increased reliance on remotely sensed data
    - LIDAR research
    - Large-scale photography
- Increased use of GIS and spatial analysis
  - Expansion of FIA products to the spatial domain
    - Imputation techniques
    - Interpolation techniques

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### Take Home Messages

- FIA conducts a variety of research
- FIA data and sampling intensity is appropriate to address forest resources as broad spatial scales (e.g. multiple counties)
- FIA data and tools are available online - <http://fia.fs.fed.us/>
- Students and faculty are also invited to use the spatial data services located at SRS FIA headquarters in Knoxville for more complex spatial analysis using actual FIA coordinates

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### Questions / Comments

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